

02/09/01

02-12-01

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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))	Attorney Docket Number	5005-0005
	First Inventor or Application Identifier	Thomas Fuhrmann
	Title	Light Waveguide With Integrated Input Aperture For An Optical Spectrometer
	Express Mail Label No.	EL 758808719 US

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Commissioner for Patents Box Patent Application Washington, DC 20231
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<p>1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 13] (preferred arrangement set forth below) -Descriptive title of the Invention -Cross References to Related Applications -Statement Regarding Fed sponsored R & D -Reference to sequence listing, a table, or computer program listing appendix -Background of the Invention -Brief Summary of the Invention -Brief Description of the Drawings (if filed) -Detailed Description -Claim(s) -Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 2]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages 2] a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 16 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see §§ 37 CFR § 1.63(d)(2) and 1.33(b) c. <input checked="" type="checkbox"/> Unsigned</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>	<p>7. CD ROM or CD ROM in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-r (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statement verifying identity of above copies</p>
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ACCOMPANYING APPLICATION PARTS	
9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
10. <input type="checkbox"/> 37 CFR § 3.73(b) Statement (when there is an assignee)	<input type="checkbox"/> Power of Attorney
11. <input type="checkbox"/> English Translation Document (if applicable)	
12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449	<input checked="" type="checkbox"/> Copies of IDS Citations
13. <input type="checkbox"/> Preliminary Amendment	
14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	
16. <input type="checkbox"/> Request and Certification under 35 USC 122(b)(2)(B)(i) Applicant must attach form PTO/SB/35 or its equivalent.	
17. <input type="checkbox"/> Other:	

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment:
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. ____/
Prior application information: Examiner ____ Group/Art Unit: ____
For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts

19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or <input checked="" type="checkbox"/> Correspondence address below					
NAME	Eugene LeDonne, Esq. Reed Smith LLP				
ADDRESS	375 Park Ave, 17 th Floor				
CITY	New York	STATE	NY	ZIP CODE	10152
COUNTRY	US	TELEPHONE	212-521-5400	FAX	212-521-5450

Name (Print/Type)	Eugene LeDonne	Registration No. (Attorney/Agent)	35,930
Signature		Date	February 9, 20001

FEE TRANSMITTAL for FY 2000

Application No. Unknown	Filing Date: February 9, 2001
First Named Inventor Thomas Fuhrmann	Group Art Unit: Unknown
Examiner Name: Unknown	Attorney Docket No. 500585.20005

METHOD OF PAYMENT (Check one)

1. ☒ **Payment Enclosed:** ☒ Check ☐ Money Order ☐ Other
2. ☐ The Director is hereby authorized to charge indicated fees to:
- 2a. ☒ Charge any additional fee required under 37 CFR 1.16 and 1.17 and credit any over payments to:

Deposit Account Number 50-1529

Deposit Account Name Reed Smith, LLP

FEE CALCULATION (fees effective 10/1/00)

1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101	201	Utility filing fee	710
106	206	Design filing fee	
107	207	Plant filing fee	
108	208	Reissue filing fee	
114	214	Provisional filing fee	
		SUBTOTAL (1)	(\$710)


2. EXTRA CLAIM FEES

	**No. of Claims	Extra Claims	Fee from Below	Fee Paid
Total Claims	16	20	0	0
Independent Claims	3	3	0	0
X Multiple Dependent Claims		0	0	0
			SUBTOTAL (2)	(\$0)

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
103	203	Claims in excess of 20	
102	202	Independent claims in excess of 3	
104	204	Multiple dependent claim	
109	209	Reissue independent claims over original patent	
110	210	Reissue claims in excess of 20 and over original patent	
		SUBTOTAL (3)	\$0

SUBMITTED BY

Typed or Printed Name	Eugene LeDonne	Date: February 9, 2001	Complete (if applicable)
Signature		Reg. Number	35,930
		Deposit Account User ID	50-1529

Certificate of Mailing by "Express Mail"

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EL 758808719 US
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February 9, 2001
Date of Deposit

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and is addressed to the Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.



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